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Dated: October 15 2004

Signature:

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-022
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 2681

Filed: December 11, 2001

Examiner: Not Yet
Assigned

For METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 15, 2004

Respectfully submitted,

By

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



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| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | Complete If Known | | | |
| | | Application Number | 10/014,960 | | |
| | | Filing Date | December 11, 2001 | | |
| | | First Named Inventor | Harold A. Ludtke | | |
| | | Art Unit | 2681 | | |
| | | Examiner Name | Not Yet Assigned | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | SONY 3.0-022 |

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| NON PATENT LITERATURE DOCUMENTS | | | |
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